

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nai-Kong V. CHEUNG  
U.S. Serial No. : 10/565,484  
Confirmation No. : 2140  
Filed : January 17, 2006  
Examiner : Eric Olson  
Art Unit : 1623  
For : THERAPY-ENHANCING GLUCAN

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October 14, 2009

Commissioner for Patents  
P.O. Box 1450  
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Sir/Madam:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with his duty of disclosure under 37 C.F.R. §1.56, Applicant would like to direct the Examiner's attention to the following references which are also listed on Forms PTO/SB/08A and PTO/SB/08B (attached hereto as **Exhibit A**), and some of the references are further attached as **Exhibits 1-14**.

The U.S. Patents listed (References 1-5) are on file at the USPTO; therefore, in accordance with 37 C.F.R. 1.98, copies of these references are not provided. However, the office of the Applicant's undersigned attorney may be contacted in the event that the Examiner would like a copy of these references.

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1. U.S. Patent No. 5,032,401, July 16, 1991, JAMAS et al.,  
"Glucan Drug Delivery System and Adjuvant"
2. U.S. Patent No. 5,614,242, March 25, 1997, FOX, Gregory J.,  
"Food Ingredients Derived from Viscous Barley Grain and the  
Process of Making"
3. U.S. Patent No. 5,741,495, April 21, 1998, JAMAS et al.,  
"Glucan Drug Delivery System and Adjuvant"
4. U.S. Patent No. 6,369,216, April 9, 2002, PATCHEN et al.,  
"Very High Molecular Weight  $\beta$ -Glucans"
5. U.S. Patent No. 7,011,845, March 14, 2006, KOZBOR, Danuta and  
KANEKO, Yutaro, " $\beta$ -Glucans Encapsulated in Liposomes"
6. International Publication No. WO 2006/007372, January 19,  
2006, GARY R. OSTROFF, "Drug Delivery Product and  
Methods." (EXHIBIT 1)
7. European Patent Publication EP 0 759 089 B1, April 18,  
1995, Biotec Pharmacon ASA, "Enzyme Treatment of Glucans"  
(EXHIBIT 2)
8. Australian Office Action, June 12, 2009, for SLOAN-  
KETTERING INSTITUTE FOR CANCER RESEARCH, Australian  
Application No. 2008207369, Filed August 18, 2008,  
continuation-in-part of PCT/US07/01427. (Atty. Dkt. #639-  
G-PCT-AU) (EXHIBIT 3)
9. Canadian Office Action, March 26, 2009, for SLOAN-  
KETTERING INSTITUTE FOR CANCER RESEARCH, Canadian  
Application No. 2,434,938, Filed July 15, 2003, National

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Stage of PCT/US02/01276. (Atty. Dkt. #639-A-PCT-CA)  
(EXHIBIT 4)

10. Chinese Office Action, July 24, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Chinese Application No. 200480020356.6, Filed January 16, 2006, National Stage of PCT/US04/23099. (Atty. Dkt. #639-C-PCT-CN) (EXHIBIT 5)
11. Chinese Office Action, February 27, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Chinese Application No. 200480020356.6, Filed January 16, 2006, National Stage of PCT/US04/23099. (Atty. Dkt. #639-C-PCT-CN) (EXHIBIT 6)
12. European Office Action, March 30, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, European Application No. 02 707 502.7, Filed August 4, 2003, National Stage of PCT/US02/01276. (Atty. Dkt. #639-A-PCT-EP) (EXHIBIT 7)
13. Mexican Office Action, May 29, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Mexican Application No. PA/a/2006/000615, Filed July 16, 2004, National Stage of PCT/US04/23099. (Atty. Dkt. #639-C-PCT-MX) (EXHIBIT 8)
14. U.S. Advisory Action, August 6, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 12/036,462, Filed February 25, 2008. (Atty. Dkt. #639-BA-US) (EXHIBIT 9)
15. U.S. Advisory Action, July 10, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 12/036,462, Filed February 25, 2008. (Atty. Dkt. #639-BA-US) (EXHIBIT 10)

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16. U.S. Office Action, June 2, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 11/334,763, Filed January 17, 2006. (Atty. Dkt. #639-E-US) **(EXHIBIT 11)**

17. U.S. Office Action, April 17, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 12/036,462, Filed February 25, 2008. (Atty. Dkt. #639-BA-US) **(EXHIBIT 12)**

18. ENGSTAD et al., 2002, "The effect of soluble beta-1,3-glucan and lipopolysaccharide on cytokine production and coagulation activation in whole blood", International Immunopharmacology, 2(11):1585-1597. (abstract only) **(EXHIBIT 13)**

19. DILLER et al., 1963, "The effect of Yeast Polysaccharides on Mouse Tumors", Cancer Research, 23:201-211. **(EXHIBIT 14)**

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If a telephone interview would be of assistance in advancing prosecution of this application, Applicant's undersigned attorney invites the Examiner to telephone him at the number provided below. No fee is deemed necessary in connection with the filing of this SIDS. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

Respectfully submitted,

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